



1  
PATENT  
Attorney Docket No.: A-64260-2/RFT/RMS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NOLAN, GARRY

Serial No. 08/963,368

Filed: November 13, 1997

For: METHODS FOR SCREENING  
FOR TRANSDOMINANT INTRA-  
CELLULAR EFFECTOR PEPTIDES  
AND RNA MOLECULES

Examiner: UNKNOWN

Group Art Unit: UNKNOWN

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX MISSING PARTS**, Washington, DC 20231 on 25 February 1998.

Signed: Vicki L. Henry 25 Feb 98  
Vicki L. Henry

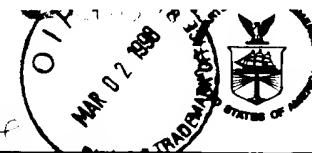
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed December 29, 1997, enclosed are the following documents:

1. Verified Statement Claiming Small Entity Status, 37 CFR 1.9(f) and 1.27(c) - Small Business Concern;
2. Our check in the amount of \$460.00 to cover the filing fee and missing parts surcharge;



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO./TITLE

11/19/2013, 3:35 PM 11/19/2013, 3:35 PM

$$F = \frac{1}{2} \left( \partial_{\mu} \phi \partial^{\mu} \phi - \frac{1}{2} m^2 \phi^2 \right) + \frac{1}{4!} \lambda \phi^4 + \frac{1}{6!} \lambda' \phi^6$$

0242/1229  
FLEINZ ROHRBACH TEST ALBRECHT & HERBER  
WIEHL 3400  
DOUR LIMBARE ALBRECHT HERBER  
SAN FRANCISCO 12/13/1941

### MULTI-ASSIGNMENT

### Table 3

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130 for a  large entity  small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  large entity  small entity (verified statement filed), is \$ 9,200.00

1. The statutory basic filing fee is:  
 missing.  
 insufficient.  
*Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).*

2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
*Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.*

3. The oath or declaration:  
 is missing.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.  
*An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.*

4. The signature(s) to the oath or declaration is/are:  
 missing.  
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the response.***

M. IAN / UNIT 4

## Customer Service Center